



Department of Health

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Governor

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Commissioner

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Executive Deputy Commissioner

March 15, 2019

TO: All MBL Liaisons

Re: MBL Transmittal 19-2

Dear MBL Liaisons:

Enclosed is MBL Transmittal 19-2. This transmittal includes information regarding the second phase of this year's mass re-budgeting. Please note that MRB has been re-scheduled for 03/23/2019. The new Federal Poverty Levels will be available effective 3/16/19. The items presented in this issue are:

- MRB effective January 1, 2019
 - MA Exemption Level Increases, SSI Benefit Level Increases, Maximum Monthly Maintenance Needs Allowance (MMMNA) Increases Cost of Living Adjustment (COLA) of 2.8% for SSA benefits (Unearned Income Source Codes 42, 43, 44 and 46) that were not rebudgeted in Phase I.
 - New budget levels will be available in MBL for all budgets computed with an Effective From Date of 01/01/2019 or greater. All budgets affected by the COLA increase and the new levels must be recalculated for comparison to the new levels. This will be done systematically for cases meeting the MRB selection criteria.
 - Notices will be sent through CNS as appropriate for budget type 04 cases with MSP that are no longer QI1 eligible after the case is successfully processed through MRB.

If MRB results in a change in eligibility that requires timely notice, the Budget Effective Dates will need to be updated to match the MA Coverage Dates.

Please e-mail the MBL contacts listed on the transmittal page if you have any questions.

Sincerely,

Amy L. Smith

Amy L. Smith
Upstate Eligibility & Support Systems
Division of Systems
Office of Health Insurance Programs

Enclosure

- **Subject:** MRB effective January 1, 2019

Affected Budget Type(s): All Except 02

Contact Person: Matt.Lapierre@health.ny.gov and Gary.Remarchuk@its.ny.gov

cc: MA Directors

New legislation includes a Cost of Living Adjustment (COLA) of 2.8% effective January 1, 2019, for SSA Benefits based off the 2019 Federal Poverty Levels. The following Unearned Income Types are included:

Source Code	Title
42	Social Security Disability Benefit
43	Social Security Survivor's Benefit
44	Social Security Retirement Benefit
46	Social Security Benefit-Dependent

All Medicaid cases with one or more of the above income types that were not re-budgeted in Phase 1 will be re-budgeted so that the SSA gross income amount reflects the COLA increase.

The following parameters are used throughout this transmittal and their values are as follows:

- **MRB Effective Date** – 04/01/2019
- **Previous Month End Date** – 03/31/2019
- **Three Months Prior Date** – 01/31/2019
- **Part B Standard Amount** – \$135.50
- **Maximum Social Security Benefit Amount** – \$2,861.00
- **MRB Phase 1 Run Date** - 12/09/2018

Criteria for MRB

Cases must meet each of the following criteria to be eligible for MRB:

- Case Type = 20 (MA)
- Case Status = 10 or 21 (Active)
- Coverage Codes \neq 25 or 26 (Exclude Prisoners and OMH)
- District Code \neq 97 or 98 (Exclude OMH and OPWDD)
- WMS Authorization To Date > **Three Months Prior End Date**
- Budget Type = 01, 04, 05, 06, 07, 08, 09 or 10
- MBL Effective To Date > **Three Months Prior End Date**

From all cases meeting the above criteria, only include cases where at least one of the following

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is met:

- Expanded Eligibility Code (EEC) = Not (Blank or E or M)
- Coverage Code = 09
- Buy-In = A, B or C
- MSPI Indicator = P, L, U or X
- Individual Categorical Code = 70 or 71
- Office = MSP or QI1 AND Unit = RECRT AND Wrkr = NYDOH

If any one of the following conditions are met, cases will be listed on the Exceptions Report (See MRB Output Summary section below). All cases on the Exceptions Report will not be re-budgeted.

- If the WMS Authorization To Date **>Three Months Prior Date** and **< MRB Effective Date**
Then the case will be on the Exceptions Report with Exception Reason 'INVALID AUTH DATE'
- If the WMS Budget Store Date **> MRB Phase 1 Run Date** and it is not a Budget Type 04 with Office = ABD, MSP or QI1, Unit = RECRT and Worker = NYDOH
Then the case will be on the Exceptions Report with Exception Reason 'STORE DATE >PHASE 1 DATE'
- If Full Data Entry has been completed, but case is in pending status as a new application or a reopening
Then the case will be on the Exceptions Report with Exception Reason 'APPLICATION STATUS'
- If budget hasvalue greater than 0 in Months Excess field or other invalid fields/characters
Then the case will be on the Exceptions Report with Exception Reason 'BUDGET FAILED DATA INPUT EDITS'
- If case only has a Bottom-Line budget
Then the case will be on the Exceptions Report with Exception Reason 'BL-BUDGET RECORD'
- If case has both a MBL budget and a Bottom-Line budget

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Then the case will be on the Exceptions Report with Exception Reason ‘MA AND BL-BUDGETS EXIST’

- If the budget’s Effective From Date > **Previous Month End Date** and it is not a Budget Type 04 with Office= ABD, MSP or QI1, Unit = RECRT and Worker = NYDOH
Then the case will be on the Exceptions Report with Exception Reason ‘FUTURE MA-BUDGET’
- If the budget’s Effective To Date > **Three Months Prior End Date** and < **MRB Effective Date**
Then the case will be on the Exceptions Report with Exception Reason ‘EXPIRED MA-BUDGET’
- If the case is in pending status or error status other than ‘00’
Then the case will be on the Exceptions Report with Exception Reason ‘PENDING DATA STATUS NOT EQUAL “00”’
- If the case is clocking down
Then the case will be on the Exceptions Report with Exception Reason ‘CLOCKDOWN STATUS CODE EQUAL “C”’
- If the budget has an EDC < **MRB Effective Date** and the Effective To Date is > **Prior Month End Date**”
Then the case will be on the Exceptions Report with Exception Reason ‘FAILED MBL EDC DATE EDIT’
- If the case has no budget record stored for client
Then the case will be on the Exceptions Report with Exception Reason ‘SYSTEM ERROR, MISSING MA-BDGT’

Description of MRB

1. Eligible cases/budgets with any Unearned Income Source Codes 42, 43, 44 or 46 will have each occurrence recalculated to include the 2.8% COLA increase. Cases with budget types = 7-10 with one of the following criteria will not receive a COLA update. These budgets will still be recalculated to be compared with the new FPLs.

- Coverage Code = 09
- Buy-In = A, B or C

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- MSPI Indicator = P, L, U or X

COLA Increase Example: Old Income = \$550.00

New Income = $(\$550.00 \times 0.028) + \$550.00 = \$565.40$

If there are any Unearned Income Exemption Codes of 21 (Medicare Part B Premium) associated with an Unearned Income Source Code, each Exemption Amount will be compared as follows:

- If the old Part B amount is greater than **Part B Standard Amount**, the amount will not be increased, and the case will be listed on the Exception Report with the reason 'PART B PREMIUM INCORRECT'.
- Otherwise, the Part B amount will be recalculated based on the COLA increase.

Example: Old Part B + $(\$550.00 \times 0.028) =$ New Part B

The new Part B amount will be compared as follows:

- If the new Part B amount is less than **Part B Standard Amount**, the case will be listed on the Exceptions Report with the reason 'SSA INCREASE LESS THAN PART B'
- If the new Part B amount is greater than or equal to **Part B Standard Amount**, the Unearned Income Exemption Code 21 Amount on the new budget will be set to **Part B Standard Amount**.

Example 1 (Exception): Prior to MRB SSA Income = \$800 and Part B premium = \$110. Apply COLA, $\$800 \times .028 = \22.40 . Add the increase of \$22.40 to Part B amount of \$110 = \$132.40. \$132.40 is less than **Part B Standard Amount** so the Part B will not be updated, and the case will appear on the district's Exceptions Report.

Example 2 (Eligible): Prior to MRB SSA Income = \$800 and Part B premium = \$120. Apply COLA, $\$800 \times .028 = \22.40 . Add the increase of \$22.40 to Part B amount of \$120 = \$142.40. \$142.40 is greater than **Part B Standard Amount** so the Part B will be changed to **Part B Standard Amount** and the case will continue MRB processing.

The new Unearned Income Source Code amount will then be rounded to the nearest whole dollar.

Example 1 (Cents above .50):

(COLA Applied) Prior SSA Income \$815.00; New 2.8% COLA Applied $(\$815 \times 2.8\% = \$837.82 -$ Round to \$838.00)

Example 2 (Cents below .50):

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(COLA Applied) Prior SSA Income \$825.00; New 2.8% COLA Applied ($\$825 \times 2.8\% = \848.10 – Round to \$848.00)

Example 3 (Cents at .50):

(COLA Applied) Prior SSA Income \$818.58; New 2.8% COLA Applied ($\$818.58 \times 2.8\% = \841.50 - Round to \$842.00)

All occurrences of Unearned Income Source Codes 42, 43, 44 or 46 on a case will be added together and the total sum will be compared to the **Maximum Social Security Benefit Amount**. If it is greater than the maximum amount, the case will be on the Exceptions Report with Exception reason ‘INCORRECT SSA AMOUNT’.

2. All eligible cases/budgets will have the new budget calculated and stored to the MBL database. The following Data Elements are changed:
 - Transaction Code = 05
 - Effective From Date = **MRB Effective Date**, if current Effective From Date < **MRB Effective Date**. Otherwise, this value remains the same.
 - Unearned Income Source Codes 42, 43, 44, or 46 will be set to the New COLA amounts
 - Unearned Income Exemption Code 21 will be set to the New Part B Premium amounts
3. Cases on the Eligibles Report may have up to five alert messages informing the worker that additional review may be required. The following alert codes may be listed on the report:
 - If case has unresolved CINTRAK (RFI) data present because of an RFI match
Then Alert Message 111 will be on the Eligibles Report
 - If all Unearned Income Source Codes of 42, 43, 44 and 46 do not have any Unearned Exemption Codes = 21; or
If any Unearned Exemption Code = 01 and the Exemption Amount is not **Part B Standard Amount**
Then the Alert Message 211 will be on the Eligibles Report
 - If the budget has any occurrence of Unearned Income Source Code = 48 (SSA Benefit – Pickle)
Then the Alert Message 311 will be on the Eligibles Report
 - If the budget has Unearned Income Source Codes = 47
Then the Alert Message 811 will be on the Eligibles Report

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- If the MA Coverage Code 09 and the Buy-In Indicator on the budget is not A, B or C
Then the Alert Message 940 will be on the Eligibles Report
 - If the budget has an Additional Allowance Code of 23 (Family Member Allowance)
Then the Alert Message 949 will be on the Eligibles Report
4. New budgets will be compared against the old budgets and one of the following Budget Statuses will be displayed in the Budget Status column on the Eligibles Report or the Auto Notice Report:

Code	Description
NC	No change
BC	Budget changed
BY	BUY-IN Eligibility changed
PC	Expanded Eligibility changed
Budget Type = 04	
WD	MBI-WPD Eligibility Change
MC	MSP Level Change ('0' to '5' or '5' to '9')
IN	MSP Level Change ('9' to '1-4' or '6-7')
For Budget Types 07-10:	
NM	COLA complete/MSP pending

- If the Budget did not have a COLA applied
Then the Budget Status will be to "NC" on the Eligibles Report
- If the Budget did have a COLA applied
Then the Budget Status will be 'BC' on the Eligibles Report
- If the previous Budget type = 07-10 w/ BUY-IN
Then the Budget Status will be 'NM' on Eligibles Report
- If the previous budget type ≠ 04 having BUY – IN eligibility information and MSP level changed from 0- QMB to 5-SLIMB or 5- SLIMB to 9-QI1 or 5-SLIMB to 0- QMB or 9 - QI1 to 5- SLIMB
Then the Budget status will be 'BY' on the Eligibles Report
- If the previous budget type = 04 w/Buy-IN indicator and Coverage Cd = 09, MSP level changed from 0- QMB to 5-SLIMB or 5- SLIMB to 9-QI1 or 5-SLIMB to 0-QMB or 9-

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Q11 to 5-SLIMB

Then the WMS Recert Source Indicator “1” and Budget Status will be ‘MC’ on the Eligibles Report

- If the previous budget type = 04, 05, or 06 w/ EEC = A and the Buy-In Indicator changed when compared to the new budget OR the previous budget type = 04, 05, or 06 w/EEC = Not Blank or E or M then compare to the new budget to determine if Expanded Eligibility changed. If a change to EEC Level occurred
Then the Budget Status will be ‘PC’ on the Eligibles Report
- If the previous budget type = 04 w/ Buy-IN indicator and Coverage Cd = 09 and the new budget MSP level changed from a ‘9’ to ‘1-4 or 6-7’
Then the Budget Status will be ‘IN’ on the Auto Notice Report

These cases will have the following transaction created in WMS:

- Case Number - Provided on file
- Case District - Provided on file
- Case Authorization Number = 918P2MMT
- Case Transaction Type = 07
- Case Reason Code = 712 – ‘Discontinue Qualified Individual (QI-1), Over Income Due To COLA Increase – System Generated
- Case Notice Indicator = T
- Authorization Period TO Date = MM/DD/YY T + 10 from the Job run date
- Case Transaction Office = NYS
- Case Transaction Unit = MBL
- Case Transaction Worker = NYDOH
- Individual Status = 20 (System generated on screen five)
- MA Coverage TO Date = T+10 End of Month (Screen five)

All other fields will remain the same for this transaction

5. Cases with a Budget Type = 04 and Coverage Code = 09 and a Buy-In, the old MSP level will be compared against the new MSP level to determine if it remains an MSP level value of “1, 2, 3, 4, 6, 7, or 8 INELIG MSP. If new budget remains INELIG MSP, the WMS Recert Source Indicator will be set to “1”.
6. All Budget Type 04 cases on the Exception report will have the Recert Source Indicator value

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‘1’, except for case with a value ‘2’ or ‘D’. Cases with a value of ‘2’ or ‘D’ will not have the indicator changed.

7. If the previous budget type = 04-06 and Individual Categorical Code = 70 or 71 and the WD-Buy-In changes from ‘1 – 150%’ to ‘5 – 250%’ or ‘5 – 250%’ to ‘1 – 150%’, or ‘1 – 150%’ or ‘5 – 250%’ to ‘6 - INELIG’ Then the Budget Status will be ‘WD’ on Eligibles Report

MRB Output Summary

MRB will produce three reports that will be sent to the local district’s respective COGNOS queue: Eligibles, Exceptions, and Auto Notice. They can be found at the following path in COGNOS: Public Folders > Global Reports > DOH > MABEL MRB Reports > Upstate. The latest version of COGNOS can be found at the following link:

<https://biservice.otda.ny.gov/ibmcognos/bi/?perspective=home>

Eligibles Report

This report contains all cases/budgets that passed all selection criteria and were successfully re-budgeted. It will include the following data fields:

Case Number
Case Name
Case Type
Budget Type
Expanded Eligibility Code
Old NAMI Amount
New NAMI Amount
Total Net Income
Budget Status
Alert Messages (Up to 5)

Exceptions Report

This report contains all cases/budgets that met initial criteria for MRB, but they were not systematically re-budgeted and require worker review. It will include the following data fields:

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Case Number
Case Name
Case Type
Budget Type
Exception Reason

Auto Notice Report

This report contains all cases/budgets that met both the MRB selection criteria and the Auto Notice selection criteria. Cases on this report will not appear on the Eligibles report. This report will include the following data fields:

Case Number
Case Name
Old Surplus
New Surplus
Total Net Income
MA Level
Q11 Level
Budget Status (SI)
Alert Messages

The sorting sequence for all three reports will be as follows:

District
Local Office
Unit
Worker
Case Name

The Auto Notice report will be further sorted by:

Old Surplus
New Surplus
Total Net Income
Budget Status (SI)

All report headers will include Local Office, Unit, Worker and Page Number.

MBL TRANSMITTAL

Date: March 22, 2019

Transmittal No.: 19-2

- **Subject:** MRB effective January 1, 2019

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