

December 15, 2014

TO: All MBL Liaisons

Re: MBL Transmittal 14-6

Dear MBL Liaisons:

Enclosed is MBL Transmittal 14-6. Again, this year's mass re-budgeting will not include a BENDEX interface. The Social Security amount will be increased by 1.7 %. Reports will be available on the local districts respective BICS queue with the exception of districts "97".

Auto notices will be generated with WMS Transactions for this mass re-budgeting. We will be providing a report, the "Auto Notice Report". Districts should review this report.

Special attention must be given to Low Income Family (LIF) budgets.
(Budget types 01, 05, & 09)

- If the eligible budget has an EID code of 6 and the eligibility standard has changed from the MA Standard to the Medicaid Income Level, the worker should change the EID code from 6 to 1. If the resulting budget (after changing the EID from 6 to 1) remains eligible at the MA Standard, leave the EID code of 1 and store. If the resulting budget remains at the Medicaid Income level, an additional entry is necessary on MBL. The worker should now change the EID code from 1 to 4 (\$30 and 1/3), adjust the EFFECTIVE PERIOD, and store. Print budgets to maintain an audit trail.
- If the eligible budget has an EID code of 1 and the eligibility standard has changed from the MA standard to the Medicaid Income Level, the worker should change the EID code of 1 to a 4 (30 and 1/3 disregard), adjust the EFFECTIVE PERIOD and store.
- If individual is no longer eligible for LIF, at next client contact, individual categorical codes should be changed to reflect the change in category.
- If the eligible budget has Social Security Income which has changed (Effective 01/01/15) and remains at the MA standard, the EID code of 6 should be changed to a 1 at next client contact.

The items presented in this issue are:

ACTION #1: SSA Benefit Increase (COLA), MA Exemption Level Increases, SSI Benefit Level Increases and Minimum Monthly Maintenance Needs Allowance (MMMNA) Increase. Mass Re-budgeting effective January 1, 2015

Information #1: MBL Mass Re-budgeting Output Summary.

Information #2: Criteria for MBL Mass Re-budgeting.

Information #3: Description of MBL Mass Re-budgeting Process.

Information #4: SSA Increase and Medicare Part B Summary.

ACTION #2: MBL Mass Re-budgeting – Principal Provider Update Interface.

Information #1: MBL-Principal Provider Update Interface Output Summary.

Information #2: Criteria for MBL-Principal Provider Update.

Information #3: Description of MBL-Principal Provider Update Process.

ACTION#3: MBL CNS Notice for BT04 and WMS Transaction.

Please call the MBL contact listed on the Transmittal page if you have any questions.

Sincerely,

Amy L. Smith
Upstate Eligibility & Support Systems
Division of Systems
Office of Health Insurance Programs

Enclosure
cc: MA Directors

Subject: ACTION #1: SSA Benefit Increase, MA Exemption Level Increase, SSI Benefit Level Increase and Minimum Monthly Maintenance Needs Allowance (MMMNA)

Affected Budget Type(s): All Budget Types

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

A. STATEMENT OF TASK:

1. Enactment of 2015 Federal legislation includes a Cost of Living Adjustment (COLA) of 1.7% effective January 1, 2015, for SSA Benefits. The following Unearned Income Types are included:

Source Code	Title
42	Social Security Disability Benefit
43	Social Security Survivor's Benefit
44	Social Security Retirement Benefit
46	Social Security Benefit-Dependent

All Medical Assistance cases with one or more of the above income types must be re-budgeted so that the SSA gross income amount reflects the 1.7 % increase.

2. The State Legislature has passed legislation to increase the MA Income and Resource Exemption Levels for one and two person households effective January 1, 2015. All Medicaid cases affected by this increase must be re-budgeted and compared against the new MA Exemption Levels.
3. The Social Security Administration has indicated that the SSI Benefit Levels also will be increased effective January 1, 2015. All Medical Assistance cases affected by this increase must be re-budgeted and compared against the new SSI Benefit Levels.
4. The Minimum Monthly Maintenance Needs Allowance (MMMNA) will be increased effective January 1, 2015. All cases affected by this change will be re-budgeted.

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Subject: ACTION #1: SSA Benefit Increase, MA Exemption Level Increase, SSI Benefit Level Increase and Minimum Monthly Maintenance Needs Allowance (MMMNA)

Affected Budget Type(s): All Budget Types

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

B. SUPPORTING SYSTEM CHANGES:

1. MBL

All new levels may be accessed for re-budgeting purposes by the worker taking the following steps:

- a. Enter 05 Transaction Type (Change);
- b. Adjust Effective FROM DATE to 01/01/15;
- c. Make other appropriate changes, i.e. number of excess months to be calculated;
- d. Transmit and store the MA budget.

MBL will be programmed to access the new MA Levels, SSI Benefit Levels and MMMNA with a FROM DATE of 01/01/15. This logic change will be available on Production Upstate on December 15, 2014.

2. MBL - Mass Rebudgeting

All budgets meeting the selection criteria for the MRB will be mass re-budgeted using the percentage methodology.

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Subject: INFORMATION #1: MBL Mass Rebudgeting Output Summary

Affected Budget Type(s): All Budget Types

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

A. AVAILABLE OUTPUT:

MBL Mass Re-budgeting will only provide output for the Exceptions list and Eligibles list via BICS with the exception of districts "97". Reports will be available on the local districts respective BICS queue identified by filename. An example would be: (001-X##*WMSAW76A). This breaks down as "001" number of copies, "X" BICS, "##" Local District number, "*" system separator, "WMSAW76A" filenames (Eligible '76A-D', and/or Exceptions '76A-D' and Auto Notice "65A"). In addition, NO COPY OF THE NEW BUDGET WILL BE PRINTED for the case record.

1. EXCEPTIONS REPORT

This report will identify those cases/budgets that did not meet the selection criteria for mass re-budgeting. Thus, they will not be re-budgeted by the system and will require review and/or re-budgeting by the worker. (Refer to INFORMATION #2 – Criteria for MBL Mass Re-budgeting).

This report will include the following data fields:

- a. Case Number, Case Name and Budget Type (when available)
- b. The sort sequence for this report will be in (Case Name and Case Number) order as follows:

District, Local Office, Unit, Worker and Case Name from the WMS case record. The report header will include Local Office, Unit and Worker (for identification and distribution) and Page Number.

2. ELIGIBLES REPORT

This report will identify those budgets that passed all selection criteria and were mass re-budgeted.

- a. This report will include the following data fields: Case Number, Case Name, Budget Type, Old NAMI Amount and New NAMI Amount when amounts have changed, Budget Status and up to five (5) Alert messages. An Alert is a specific message that is intended to inform the worker of certain conditions in the budget that may have influenced the mass re-budgeting outcome and which may require additional review by the worker. Budget Status is defined in the chart below.

Budget changed	BC	Overlays NC
No change	NC	Default
BT 04 INDICATORS		
Spend down decrease	SD	Overlays all
NO Spend down to spend down	SS	Overlays all
Spend down increase	SI	Overlays all
BT 07-10 INDICATORS		
COLA complete/MSP pending	NM	Overlays BC and NC

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Subject: INFORMATION #1- MBL MassRe-budgeting Output Summary

Affected Budget Type(s): All Budget Types

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

- b. The sort sequence for this report will be in (Case Name and Case Number 'Districts 52 and 53') order as follows:
District, Local Office, Unit, Worker and Case Name from the WMS case record.
The report header will include Local Office, Unit and Worker (for identification and distribution) and Page Number.

3. AUTO NOTICE REPORT

This report will identify those cases/budgets that met the MRB selection criteria along with the Auto Notice selection criteria. Cases that appear on this report will not appear on the Eligibles Report.

- a. This report will include the following data fields: Case Number, Case Name, Budget Type, Old or NO surplus amount and the New Surplus amount, when amounts have changed, Budget Status = SI' – Spend down change has an increase), Old Net Income amount and New Net Income amount when amounts have changed.
- b. The sort sequence for this report will be in Case Name then Budget Status (alpha order) as follows:
District, Local Office, Unit, Worker and Case Name from WMS case record, Old Surplus amount, New Surplus amount, Old Net Income amount, New Net Income amount, when amounts have changed, and Budget Status. The report header will include Local Office, Unit and Worker (for identification and distribution) and Page Number.
- c. Auto Notice File creation is necessary to generate CNS notice and WMS Transaction File data elements will be as follows: Case Number (DE 01-050); Case Name (DE 01-070); Case Type (DE 01-060); Budget type (DE 01-062); Old Surplus amount (DE 11-462); New Surplus amount (DE 11-462); Old Total Net income (DE 07-151); MA Level Amount (DE 11-412); New Total Net Income (DE 07-151); and BUY-IN level (QI-1).

Subject: Information #2: Criteria for MBL Mass Re-budgeting**Affected Budget Type(s):** All Budget Types**Contact Person:** MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105**A. Description of MBL Mass Re-budgeting Case Selection Criteria**

Case selection criteria will result in cases/budgets being put into two categories:

- Eligibles: Case eligible for immediate re-budgeting or re-budgeting guided by an alert message(s).
- Exceptions: Case in possible need of re-budgeting after resolution of the Exception Reason. The category also will include cases that will be excluded from Mass Re-budgeting because they are not affected by the January 1st changes.

B. Eligible Criteria

Cases to be initially eligible for Mass Re-budgeting selection must meet each of the following criteria:

Eligible Criteria	Explanation
(1) Case Type = 20	An MA Case
(2) Case Status = 10 or 21	Case must be active.
(3) Budget Type must be 01, 04 thru 10	MBL Budget type must be equal to 01, 04, 05, 06, 07, 08, 09 or 10.
(4) Expanded Eligible Code = "E" or Blank	Case has an EEC value of blank or "E-DAC" present.
(5) Buy-In field must be blank for MBL Budget types 01, 04, 05 or 06	Budget Buy-In Indicator field must be blank or spaces for budget types 01, 04, 05 or 06.
(6) Authorization To Date: Greater than 01/01/15	The authorization must extend into January 2015 or beyond.
(7) MBL Budget/No Bottom-Line	An MA case must have a MBL budget with no Bottom-Line budget.
(8) Budget Effective Dates: FROM and TO	The MBL FROM Date must be less than 01/01/15, and the TO Date greater than 12/31/14.

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Subject: Information #2: Criteria for MBL Mass Re-budgeting

Affected Budget Type(s): All Budget Types

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

Eligible Criteria (Continued)

Cases to be initially eligible for Mass Re-budgeting selection must meet each of the following criteria:

Eligible Criteria	Explanation
(9) Budget Type 04 Effective Dates: FROM and TO	Cases with an Office = ABD, Unit = RECRT Wrkr = NYDOH regardless of MBL FROM Date in the future and the TO Date greater than 12/31/14.
(10) WMS Individual Categorical Code (DE 03-110) is not equal to '70 or 71'	MBI-WPD individual is budget type 04, 05 or 06 with blank or spaces in the Buy-In field and/or NO Expanded Eligible Code (EEC).

C. Exception Reasons

Cases will be listed as Exceptions for one of the following reasons:

Exception Reasons	Explanation
(1) INVALID AUTH DATE	An active Medicaid case has an authorization TO Date prior to January 1, 2015, but has not been expired for more than 2 months.
(2) APPLICATION STATUS	An MA case has undergone full data entry, but is in pending status as either a new application or reopening.
(3) BUDGET FAILED DATA INPUT EDITS	MA Case has a MBL budget that has invalid fields or characters that are not allowed for the budget type during data input.
(4) BL-BUDGET RECORD	An MA case only has a Bottom-Line budget.
(5) MA AND BL-BUDGETS EXIST	An MA case has both a MBL budget and a Bottom-Line budget.

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Subject: Information #2: Criteria for MBL Mass Re-budgeting

Affected Budget Type(s): All Budget Types

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

Exception Reasons (Continued)

Exception Reasons	Explanation
(6) FUTURE MA-BUDGET	The MBL budget Effective FROM Date is equal to or greater than January 1, 2015 and is not budget type 04 with Office = ABD, Unit = RECRT, Wrkr = NYDOH.
(7) EXPIRED MA-BUDGET	The MBL budget Effective TO Date is less than January 1, 2015, but has not expired for more than 2 months.
(8) BT 07-NEW BUDGET NOT REQUIRED	An MA case has a MBL budget with a Budget Type = 07 and no SSA Unearned Income. Does not require Mass Re-budgeting.
(9) PART B PREMIUM INCORRECT	A Medicare Part B Premium was entered as an Unearned Income Exemption Code = 21, but the Exemption Amount was less than \$104.90
(10) SSA INCREASE LESS PART B	MA Case has a MBL budget with SSA Income and a Part B Premium, where the SSA COLA increase is less than the increase in the Part B Premium.
(11) PENDING DATA STATUS NOT EQUAL "00"	WMS Case has a pending or error status present other than '00'.
(12) CLOCKDOWN STATUS CODE EQUAL "C"	WMS Case is clocking down to close.
(13) INCORRECT SSA AMOUNT	The SSA Income amount is higher than the maximum Social Security Benefit amount.
(14) FAILED MBL EDC Date Edit	MBL budget has EDC date prior to 01/01/15 and Effective TO Date period into new Fiscal Year.

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Subject: Information #2: Criteria for MBL Mass Re-budgeting

Affected Budget Type(s): All Budget Types

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

Exception Reasons (Continued)

Exception Reasons	Explanation
(15) SYSTEM ERROR, MISSING MA-BDGT	WMS Case has NO MA Budget record on file.

D. Alerts

Eligible cases may include up to five alerts. These alerts inform the worker of certain conditions in the budget that may have influenced the Mass Re-budgeting results and which may require additional review by the worker. The alerts will be numerical codes:

011 - The eligible budget had the "Months Excess is Available" field entry recomputed by the system before re-budgeting

111 - The eligible budget had CINTRAK (RFI) data present on the case as a result of an RFI match (lockout). The worker may want to review CINTRAK information as part of associated case maintenance activity

211 - The eligible budget had SSA Unearned Income but did not have any Medicare Part B Premium exemptions present in the budget. Therefore, the resulting new SSA calculated benefit might be incorrect, or be reflective of a net benefit amount.

311 - The eligible budget had at least one occurrence of Unearned Income Code "48 - SSA Benefit - Pickle". Although the associated amount was unchanged during Mass Re-budgeting, the worker may want to review the budget for any necessary action.

811 - The eligible budget has an Expanded Eligibility Code of E (DAC). DAC cases are often not changed during Mass Re-budgeting. The worker may want to review the budget for necessary action.

940 – The eligible budget has NO Buy-In indicator value of “A, B or C” present but the WMS case record has MA Coverage Code equal to ‘09’ for Medical Saving Program (MSP) eligibility.

949 – The eligible budget has the additional allowance code of “23 – Family Member Allowance” present. This value will be updated with the new Federal Poverty Levels.

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Subject: Information #3: Description of MBL Mass Re-budgeting Process

Affected Budget Type(s): All Budget Types

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

A. System Process

1. Active Case Type 20 (MA) cases will be selected and tested for the selection criteria outlined in INFORMATION #2 - Criteria for MBL Mass Re-budgeting. Those cases/budgets not meeting the selection criteria will be flagged as an exception and appear on the Exceptions Report. Budgets having an Expanded Eligibility Code "EEC" present other than an "E - DAC" or Buy-In indicator value present with the exception of budget types 07-10 will be bypassed/skipped during this phase. Budget Type 04 cases with an existing indicator of "2, A, C, D, L, W or X" will not have the value reset to blank prior to the MRB run, but may be overlaid by the "1" value after MRB with the exception of the values of "2 or D".
2. All budget type 02 cases will be bypassed/skipped for MRB.
3. All budgets that meet the selection criteria having an individual with coverage code equal to '25 or 26' will be skipped/bypassed due to special circumstances, ie prisoner or Inpatient OMH.
4. Those cases/budgets meeting selection criteria will be tested for the presence of SSA Unearned Income in all six occurrences of unearned income. If SSA income is present, the amount will be recomputed, giving a new amount reflecting the COLA increase.
5. Medicare Part B Premium will remain at \$104.90. If Part B Premium Exemptions are not present, an alert '211' message will be issued and appear on the Eligible Report.
6. The Effective FROM Date will be changed to 01/01/15, in order to access the new applicable levels/allowances.
7. Old budget type 04, 05 or 06 that have MBI-WPD eligibility must NOT be re-budgeted. If the WMS Individual Cat Code is equal to '70 or 71' then DO NOT UPDATE THE MBL budget record.
8. New budgets will be compared against the old budget with certain areas (fields) being compared to determine if the budget was changed. If the budget had the new COLA percentage applied a 'BC' will appear in the Budget Status column on the Eligible Report. If the budget did not have a COLA increase applied a 'NC' will appear in the Budget Status column on the Eligible Report.
9. Old budget type 07 thru 10 with MSP indicator present will be compared against the new budget to determine if the budget changed. If the budget changed, a 'NM' will appear in the Budget Status column on the Eligible Report.
10. Old budget type 04 with NO or blank MSP indicator, Categorical Indicator not equal to 06, 07 or 08 and coverage code not equal to 09 will be compared against the new budget to determine if the spend down amount has changed after MRB. If the spend down amount has increased set the budget status to "SI" and list the case on the Notice report for a WMS Transaction and (Notice 711).

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Subject: Information #3: Description of MBL Mass Re-budgeting Process

Affected Budget Type(s): All Budget Types

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

System Process (Continued)

11. Old budget type 04 with NO or blank MSP indicator and coverage code not equal to 09 will be compared against the new budget to determine if there is a decrease in the spend down amount set the budget status to "SD". Set the WMS Recert Source indicator to "1".
12. Old budget type 04 with NO or blank MSP indicator and coverage code not equal to 09 will be compared against the new budget to determine if there was NO spend down but after MRB has a spend down amount set the budget status to "SS". Set the WMS Recert Source indicator to "1".
13. Old budget type 04 that are exceptioned will have the recert source indicator set to "1" with the exception of cases that have the recert source indicator value equal to "2 or D" these cases will follow the existing MRB selection criteria process but will have the "INDICATOR NOT UPDATED". If after the MRB process, a Recert Source Indicator of "1" is generated and an "A" exists to overlay the "A" with the "1".
14. New budgets will be updated to the MBL database, thus over-laying the old budget. Elements changed include Transaction Code = 05, budget "From Date" is changed to 01/01/15 unless the budget "From Date" is in the new year, Version Number will be incremented by one and the Date stored will be the MRB run date. The Exceptions Report and the Eligible Report will be available for the districts via BICS with the exception of districts "97".

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Subject: Information #4: SSA Increase and Medicare Part B Increase Summary

Affected Budget Type(s): All Budget Types

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

The following action will occur for all budgets meeting the eligibility criteria.

A. SSA Increase - Percentage Calculation Methodology

For all occurrences of Unearned Income Codes 42, 43, 44, or 46, the SSA COLA increase will be computed using 1.7 % added to the existing SSA Income Amount. The amount(s) will be used when recalculating the budget and will appear on the screens of the re-budgeted cases in the appropriate Unearned Income amount fields.

B. Medicare Part B

1. For budgets which have an occurrence of SSA income and there is an Unearned Income Exemption Code of 21 (Medicare) the Exemption Amount will be \$104.90. When the Exemption Amount currently on file is not equal to \$104.90, the case will be flagged as an exception and will be listed on the Exception Report.
2. When the Exemption Code is 01 and the exemption amount is not equal to \$104.90, or there are no occurrences of Exemption Code 21, Alert 211 (No Medicare Part B) will appear on the Eligible Report

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Subject: ACTION #2: MBL Mass Re-budgeting – Principal Provider Update Interface

Affected Budget Type(s): 07 - Chronic Care, 08 – Chronic Care/SSI Related,
09 – Chronic Care and LIF/ADC-Related and 10 - Chronic Care and
Single/Childless Couples(S/CC)

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

A. STATEMENT OF TASK:

Coinciding with the annual Mass Re-budgeting for the SSA COLA increase, most workers maintaining chronic care cases also have the additional task of posting the new NAMI amount(s) on the Principal Provider Subsystem. This process involves the completion of an input document and submittal to data entry for input into the Principal Provider Subsystem prior to the MMIS pull down for the month the new NAMI takes effect.

o **NOTE: Budget types 07 thru 10 with Buy-In indicator present will have a budget status of 'NM' this indicates that the COLA is completed and MSP determination is pending due to the new Federal Poverty Levels not being released or programmed in MBL. MSP determination will be completed at a later date in 2015 after the release of the new Federal Poverty Levels.**

B. SUPPORTING SYSTEM CHANGES

A MBL - Principal Provider Subsystem interface capability will be available to update the new NAMI amount(s) on the active recipient's Principal Provider record. This will significantly reduce the volume of data entry. The following MBL – Principal Provider Interface Options are made available to local districts.

1. OPTION I

DISTRICT DOES NOT WISH TO PARTICIPATE IN THE MBL-PRINCIPAL PROVIDER UPDATE INTERFACE. (Districts selecting this option will have to manually data enter the new NAMI amount(s) on the Principal Provider Subsystem.)

2. OPTION II

DISTRICT DOES WISH TO PARTICIPATE IN THE MBL-PRINCIPAL PROVIDER UPDATE INTERFACE BUT DOES NOT WISH TO PARTICIPATE IN THE AUTOMATED CNS NOTICE UPDATE OPTION. (Districts selecting this option will have the new NAMI amount(s) updated on the active recipient's Principal Provider record and no CNS Notice will be generated).

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Subject: ACTION #2: MBL Mass Re-budgeting – Principal Provider Update Interface

Affected Budget Type(s): 07 - Chronic Care, 08 – Chronic Care/SSI Related,
09 – Chronic Care and LIF/ADC-Related and 10 - Chronic Care and
Single/Childless Couples(S/CC)

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

3. OPTION III

DISTRICT DOES WISH TO PARTICIPATE IN THE MBL-PRINCIPAL PROVIDER UPDATE INTERFACE AND THE AUTOMATED CNS NOTICE UPDATE OPTION. (Districts selecting this option will have the new NAMI amount(s) updated on the active recipient's Principal Provider record and automated CNS Notices (946/947) will be generated.)

Local Districts selecting option 2 or 3 will have Client Notices automatically generated for their Budget Type 07 (Chronic Care) population, due to changes in their NAMI amounts from the MRB Process via the Client Notice System (CNS). The new automated COLA notice will have Reason Code 946 and will be similar to Reason Code V11, “Recalculation of Contribution Toward Chronic Care, Single – due to COLA increase”, which is currently in Production.

Local Districts will also have notices generated for Budget Types 08, 09 and 10. All three of these budget types, which have cases where the NAMI amount is changing due to the MRB Process will have a new notice, Reason Code 947. This notice mirrors Reason Code V12, “Recalculation of Contribution Toward Chronic Care – Spousal due to COLA increase”, which is currently in Production.

Note: NO automated notices will be sent if Case Count in WMS is greater than 1 for budget type 07.

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Subject: INFORMATION #1: MBL - Principal Provider Update Interface Output

Affected Budget Type(s): 07 - Chronic Care, 08 – Chronic Care/SSI Related,
09 – Chronic Care and LIF/ADC-Related and 10 - Chronic Care and
Single/Childless Couples(S/CC)

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

A. AVAILABLE OUTPUT:

MBL Mass Re-budgeting will only provide output for the Exceptions list and Eligible list via BICS except for district "97". Reports will be available on the local districts respective BICS queue identified by filename. An example would be: (001-X*MNA400PFXS1) This breaks down as "001" number of copies, "X" BICS, "MNA400" run names, "PF" Print File, "L or X" Eligible or Exceptions,"1" 1-5 (There are 5 Eligible Reports and 5 Exception Reports), "21" District Number.

1. EXCEPTIONS REPORT

This report will identify those cases that did not meet the selection criteria for this version of the interface update. Thus, the new NAMI amount(s) will not be updated to the active recipient's Principal Provider record and will require correction and updating by the worker.

- a. This report will include the following data fields
Case Number, Case Name, CIN, Client Name, Principal Provider Code and Exception Message. (For certain exception conditions, the CIN, Client Name and Principal Provider Code will not be available on the report.)
- b. The sort sequence for this report will be as follows:
District, Local Office, Unit, Worker and Case Name from the WMS case record. The report header will include Local Office, Unit, Worker ID (for identification and distribution) and Page Number.

2. ELIGIBLES REPORT

This report will identify those cases that passed all selection criteria and had new NAMI amounts successfully updated.

- a. This report will include the following data fields:
Case Number, Case Name, CIN, Client Name, Principal Provider Code, Updated NAMI Amount(s), Effective Date(s) and Alert Messages. An Alert message is a specific warning that directs the worker to a certain area of the updated Principal Provider record which may require additional review by the worker. (Refer to INFORMATION #2 - Criteria for MBL-Principal Provider Update.)

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Subject: INFORMATION #1: MBL - Principal Provider Update Interface Output

Affected Budget Type(s): 07 - Chronic Care, 08 – Chronic Care/SSI Related,
09 – Chronic Care and LIF/ADC-Related and 10 - Chronic Care and
Single/Childless Couples(S/CC)

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

ELIGIBLES REPORT (Continued)

- b. The sort sequence for this report will be as follows:
District, Local Office, Unit, Worker and Case Name from the WMS case record. The report header will include Local Office, Unit, Worker ID (for identification and distribution) and Page Number at the end of this report, District totals will be printed for the number of recipient Principal Provider records updated.

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Subject: INFORMATION #2: Criteria for MBL - Principal Provider Update

Affected Budget Type(s): 07 - Chronic Care, 08 – Chronic Care/SSI Related,
09 – Chronic Care and LIF/ADC-Related and 10 - Chronic Care and
Single/Childless Couples(S/CC)

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

A. DESCRIPTION OF MBL-PRINCIPAL PROVIDER UPDATE SELECTION CRITERIA

Case selection criteria will result in cases/recipients being put into two categories:

Eligibles: Cases/recipients eligible for automated MBL-Principal Provider updating or updating guided by an alert message.

Exceptions: Cases/recipients where Principal Provider updating will be required after resolution of the Exception Reason.

B. ELIGIBLE CRITERIA

Cases/recipients to be eligible for Principal Provider updating must meet each of the following criteria:

Eligible Criteria	Explanation
(1) Successfully Mass Re-budgeted	A new MBL budget was produced as a result of Mass Re-budgeting. Case appeared on the Eligibles Report and a change in the NAMI resulted.
(2) MBL Budget Type = 07, 08, 09 or 10	MBL budget must be Chronic Care. (All current Hospital Excess Budgets (PP Code 08) should be manually reviewed).
(3) Valid Principal Provider Entry on Screen 5	Case must have only one individual coded in a Principal Provider situation. Valid PP codes are 01-07, 10 and 12.
(4) Recipient Principal Provider Record Must Be Available	A Principal Provider record must exist for identified PP individual.
(5) Valid Principal Provider Situation Exist	The Principal Provider record must contain a valid PP situation. (i.e. PPcode = 01 thru 07, 10 or 12.)
(6) Valid NAMI Record	The Principal Provider record must contain at least one NAMI record with a valid date.

Subject: INFORMATION #2: Criteria for MBL - Principal Provider Update

Affected Budget Type(s): 07 - Chronic Care, 08 – Chronic Care/SSI Related,
09 – Chronic Care and LIF/ADC-Related and 10 - Chronic Care and
Single/Childless Couples(S/CC)

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

C. EXCEPTION REASONS

Cases/recipients will be listed as Exceptions for one of the following reasons:

Exception Reason	Explanation
(1) NO PP INDIVIDUAL	No individual in the case is coded on Screen 5, as being in a Principal Provider situation.
(2) MORE THAN ONE PP INDIVIDUAL	More than one individual in the case is coded on on Screen 5 as being in a Principal Provider situation. (The MBL budget logic does not carry NAMI information for more than one individual).
(3) NO PP RECORD	The individual identified as being in a Principal Provider situation does not have a record in the Principal Provider subsystem.
(4) NO VALID PP SITUATION	The Principal Provider Record does not contain a valid PP entry that identifies a chronic care situation (i.e. PP Code = 01 thru 07, 10 or 12).
(5) NO VALID NAMI AMOUNT	The Principal Provider Record does not contain at least one valid NAMI entry. This would include a valid NAMI Effective FROM Date.
(6) DATABASE ERROR	This is a contingency Exception Condition that will appear only if the Principal Provider record is unavailable from the database.
(7) FUTURE PP SITUATION	The current Principal Provider record has a DOS FROM Date equal to or greater than 01/01/14.

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Subject: INFORMATION #2: Criteria for MBL - Principal Provider Update

Affected Budget Type(s): 07 - Chronic Care, 08 – Chronic Care/SSI Related,
09 – Chronic Care and LIF/ADC-Related and 10 - Chronic Care and
Single/Childless Couples(S/CC)

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

D. ALERTS

Eligible cases/recipients may include the following alert condition:

ND1 to ND9* - The eligible Principal Provider record had future NAMI amounts (effective 01/01/15 or later) that were deleted prior to updating.

* The actual alert code is comprised of two parts: ND – which denotes NAMI deleted, and a number from 1 to 9 which indicates the number of future NAMIs deleted before updating.

An eligible case may have only one alert. This alert directs the worker's attention to the fact that future NAMIs were deleted and replaced by new NAMI amounts. The worker may want to review this update.

Note: For those Districts that have posted future NAMIs (effective 01/01/15 or greater) Principal Provider Update will delete the NAMI and replace it with the NAMI amount from the Mass Re-budgeting. If the District posted NAMI for 01/01/15, or greater, includes new or increased resources manual revision will be required.

MBL TRANSMITTAL

Date: December 15, 2014

Transmittal No.: 14-6

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Subject: INFORMATION #3: Description of MBL - Principal Provider Update Process

Affected Budget Type(s): 07 - Chronic Care, 08 – Chronic Care/SSI Related,
09 – Chronic Care and LIF/ADC-Related and 10 - Chronic Care and
Single/Childless Couples(S/CC)

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

A. SYSTEM PROCESS

For the Districts selecting OPTION II or OPTION III, the following system process will be used for Principal Provider updating:

After the MBL budget has been successfully mass re-budgeted, MBL budgets with Budget Type equal to 07, 08, 09 and 10 will be selected for MBL-Principal Provider update processing.

1. The case/client record will be tested to determine which individual is in a valid Principal Provider situation. For this update process, only one individual per case may be in a Principal Provider situation. If more than one individual is identified, the case will be flagged as an exception and appear on the Exception Report. Refer to INFORMATION #2 - Criteria for MBL-Principal Provider Update.
2. Those cases meeting the selection criteria thus far will be tested for the presence of a Principal Provider record on the database for the individual identified in the Principal Provider field on screen 5. Cases/individuals without a Principal Provider record will be flagged as an exception and appear on the Exception Report Refer to INFORMATION #2 - Criteria for MBL-Principal Provider Update.
3. The Principal Provider record will then be tested to determine whether a valid PP entry exists identifying a chronic care situation (PP Code = 01 thru 07, 10 and 12). If no valid entry exists, or if the entry had been deleted, the case/individual will be flagged as an exception and appear on the Exceptions Report. Refer to INFORMATION #2 - Criteria for MBL-Principal Provider.
4. Those cases with a valid PP entry will then be tested to determine whether the DOS FROM Date and NAMI Effective Date are equal to or greater than 01/01/14. If no valid entry exists, the case/individual will be flagged as an exception and appear on the Exceptions Report. Refer to INFORMATION #2 Criteria for MBL-Principal Provider.
5. Those cases with a valid PP entry will then be tested to determine whether a valid NAMI entry exists. If no valid entry exists, the case/individual will be flagged as an exception and appear on the Exceptions Report. Refer to INFORMATION #2 Criteria for MBL Principal Provider.
6. Those cases meeting all selection criteria outlined above will then have the new NAMI amount(s) posted on the associated Principal Provider record.

MBL TRANSMITTAL

Date: December 15, 2014

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Subject: INFORMATION #3: Description of MBL - Principal Provider Update Process

Affected Budget Type(s): 07 - Chronic Care, 08 – Chronic Care/SSI Related,
09 – Chronic Care and LIF/ADC-Related and 10 - Chronic Care and
Single/Childless Couples(S/CC)

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

SYSTEM PROCESS (Continued)

7. During the posting process, the Principal Provider record will be tested to determine whether future NAMI Amounts (Effective FROM Date of 01/01/15 or later) exist on the record. These old NAMI Amounts will be deleted and replaced by new NAMI Amounts calculated from the MBL Mass Re-budgeting process. An Alert condition will appear on the Eligibles Report. Refer to INFORMATION #2 – Criteria for MBL-Principal Provider Update. Those cases/individuals successfully completing this process will appear on the Eligibles Report. Refer to INFORMATION #1 MBL-Principal Provider Update Interface Output.

Subject: Action #3: MBL Mass Re-budgeting CNS Notices for BT04 and WMS Transaction

Affected Budget Type(s): Budget type 04

Contact Person: MBL Unit - Charles Moore (518)408-0566 or Kevin Wood (518)408-0105

A. STATEMENT OF TASK:

Budget type 04 cases with NO MSP but has an increase in the spend down amount after the MRB process has completed. **NOTE:** CNS/WMS updates should only occur if the WMS case is NOT in a pending, error, closed or clocking down status.

B. CNS Notices

1. CNS Notice 711 – Increase in Excess Income Due to COLA – System Generated.

C. WMS Transaction

1. WMS Transaction Reason Codes 711; If the WMS active case is in one of the following conditions, then it will be exception and appear on the MBL exception report.
 - Active WMS Case is in Pending status or error status NOT equal to '00'.
 - Active WMS Case is in lockdown status to close code must NOT equal to 'C'.
2. Cases listed on the Auto Notice Report with a Budget Status of "SI" will require the following in WMS:

Case Number - Provided on file
Case District - Provided on file
Case Authorization Number = 915P1MMT
Case Transaction Type = 05
Case Reason Code = 711 - Increase in Excess Income Due to COLA - System Generated
Case Notice Indicator = T
Case Transaction Office = NYS
Case Transaction Unit = MBL
Case Transaction Wrkr = NYDOH